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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. HOEKENDIJK & LYNCH, LLP P.O. BOX 4787 **BURLINGAME, CA 94011-4787** (Depositor's name) Έρiα L. Canonizadoγ ausu (Signature) (Date) July 11, 2008 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 10/622,129 07/16/2003 Samuel Lichtenstein 015-001 1870 TITLE OF INVENTION: METHODS AND DEVICES FOR ALTERING BLOOD FLOW THROUGH THE LEFT VENTRICLE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE APPLN. TYPE **SMALL ENTITY ISSUE FEE DUE** PUBLICATION FEE DUE \$1020 07/16/2008 nonprovisional YES \$720 \$300 07/15/2008 EAREGAY2 00000025 591247 10622129 **EXAMINER** ART UNIT CLASS-SUBCLASS 01 FC:2501 720.00 DA 300.00 NO HOPKINS, CHRISTINE D 600-037000 02 FC:1504 2. For printing on the patent front page, list 101 3.60 DA Change of correspondence address or indication of "Fee Address" (37 <u>Jens E. Hoekendiik</u> CFR 1.363). (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Canada KARDIUM, INC. Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🛣 Corporation or other private group entity 🖵 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Issue Fee Wublication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Numbeb 0 - 1247 (enclose an extra copy of this for Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Date \_\_\_ July 11, 2008 Authorized Signature 37,149 Hoekendiik Jéns E. Registration No. Typed or printed name

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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		·	PTO/SB/21 (09-04)		
OT F	Application Number	10/622,129			
/   TAANSMITTAL	Filing Date	July 16, 2003			
( JUL 1 4 2008 ) FORM	First Named Inventor	Samuel LICHTENSTEIN			
	Art Unit	3735			
For all correspondence after initial filing)	Examiner Name	Christine D. HOPKINS			
Total Number of Pages in This Submission	Attorney Docket Number	015-001			

ENCLOSURES (Check all that apply)											
	Fee Transmittal Form				Drawing(s)				After Allowance Communication to TC		
		Attache		Licensing-related Papers				3	Appeal Communication to Board of Appeals and Interferences		
	Amendment	Petition					Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
	Afte		Petition to Convert to a Provisional Application						Proprietary Information		
Affidavits/declaration(s)				Power of Attorney, Revocation Change of Correspondence Address					Status Letter Other Enclosure(s) (please identify below):		
	Extension of Time Request				Terminal Disclaimer						
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Printed	d name	Jens E	E. Hoekendijk			_					
Date		July 1	1, 2008					Reg. No.	37,1	49	
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Signature Lauruza La											
Typed or printed name Erica L. Canonizado Date July 11, 2008								July 11, 2008			

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Attorney Docket No.: 015-001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Samuel LICHTENSTEIN

: 3735 Art Unit

Serial No.: 10/622,129

Examiner:

Christine D. Hopkins

Filed

: July 16, 2003

Confirmation No.:

1870

Title

Notice of Allowance Date: July 16, 2008 : METHODS AND DEVICES FOR ALTERING BLOOD FLOW THROUGH THE

LEFT VENTRICLE

### MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed April 16, 2008, enclosed is a completed issue fee transmittal form PTOL-85b.

Please apply all appropriate charges or credits to our Deposit Account No. 50-1247.

Respectfully submitted,

Date: July 11, 2008

Jens E. Hoekendijk P.O. Box 4787

Burlingame, CA 94011-4787

Tel.: 415-412-3322 Fax: 650-344-8930

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Erica L. Canonizado